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2 Applicants: Frost et al Attorney Docket No: BIOL0017
3 Serial No: 09/976,237 Group Art Unit: 2877
4 Filed: October, 12, 2001 Examiner:
5 Title: METHOD AND APPARATUS FOR READING REPORTER LABELED BEADS
6

REQUEST FOR CORRECTED FILING RECEIPT

7 Bellevue, Washington 98004
8 June 25, 2002

9 TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE:

10 Attn: Director of the Patent and Trademark Office
11 Office of Initial Patent Examination
12 Customer Service Center
13 Arlington, VA 22202

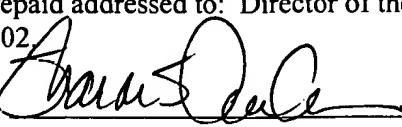
14 An updated filing receipt issued in connection with the above-identified patent application
15 contains an error respective to priority applications. A copy of the filing receipt, a copy of the
16 application transmittal letter (dated October 12, 2001), and a copy of page 1 of the application are
17 enclosed. Correction is hereby requested.

18 Both the application transmittal letter and page 1 of the patent application include the proper
19 priority claim for this matter. Please correct the priority data for this application to include the
conventional application Serial No. --09/939,292 filed August 24, 2001--.

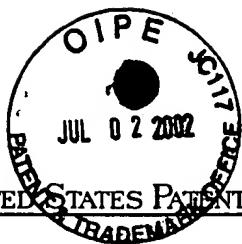
20 Respectfully submitted,

21 
Ron Anderson

22
23 Ronald M. Anderson
Registration No. 28,829

24 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and
25 Trademark Office, Arlington, VA 22202, on June 25, 2002.


26 Date: June 25, 2002



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/976,237	10/12/2001	2877	435	BIOL0017	28	1	1

CONFIRMATION NO. 9706

25268
LAW OFFICES OF RONALD M ANDERSON
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BELLEVUE, WA 98004

UPDATED FILING RECEIPT



OC000000007530430

Date Mailed: 02/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Keith L. Frost, Seattle, WA;
David A. Basiji, Seattle, WA;
Richard A. Bauer, Kirkland, WA;
Rosalynde J. Finch, Seattle, WA;
William E. Ortyn, Bainbridge Island, WA;
David J. Perry, Woodinville, WA;

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Assignment For Published Patent Application

Amnis Corporation;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/240,125 10/12/2000
AND CLAIMS BENEFIT OF 60/242,734 10/23/2000
AND CLAIMS BENEFIT OF 60/228,076 08/25/2000

Foreign Applications

If Required, Foreign Filing License Granted 11/19/2001

Projected Publication Date: 06/06/2002

Non-Publication Request: No

Early Publication Request: No

Dedocketed

SMALL ENTITY



Page 2 of 2

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Title

Method and apparatus for reading reporter labeled beads

Preliminary Class

356

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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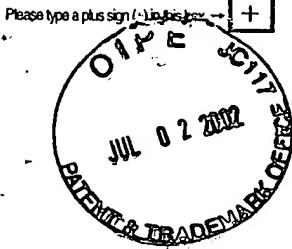
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25268

PATENT TRADEMARK OFFICE

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PTO/SB/05 (1/98) +

Approved for use through 9/30/2000, OMB 0651-002

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

INCOMPLETE

COPY

Attorney Docket No. BIOL0017

Date: October 12, 2001

Inventor(s): Keith L. Frost, David A. Basiji, Richard A. Bauer, Rosalynde J. Finch, William E. Ortyn, David J. Perry

Title METHOD AND APPARATUS FOR READING REPORTER LABELED BEADS

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RESIDENCE ADDRESS OF INVENTOR: 24017 107th Drive SE, Woodinville, Washington 98072

APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal (BELOW)
2. Applicant claims small entity status. See 37 C.F.R. § 1.27.
3. Specification [Total Pages 49]
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 28] **[FORMAL]**
5. Oath or Declaration [Total Pages _____]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**
 - i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 C.F.R. § 1.76
7. CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or i. Paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: /

Prior application information: Examiner: _____ Group / Art Unit: _____

This application is based on prior copending provisional application Serial No. 60/240,125, filed on October 12, 2000, and prior copending provisional application Serial No. 60/242,734, filed on October 23, 2000, the benefits of the filing dates of which are hereby claimed under 35 U.S.C. § 119(e). This application is further based on prior copending conventional application No. 09/939,292, filed on August 24, 2001, which is based on a prior copending provisional application Serial No. 60/228,076, filed on August 25, 2000, the benefits of the filing dates of which are hereby claimed under 35 U.S.C. § 119(e) and under 35 U.S.C. § 120.

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For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence address below:



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FEE TRANSMITTAL

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES (Continued)	
Deposit Account Number _____		Fee Description	
Deposit Account Name: _____		Requesting publication of SIR after Examiner action	
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17		Extension for reply within first month	
<input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		Extension for reply within second month	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check (No. _____) <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Extension for reply within third month	
X Payment NOT Enclosed		Extension for reply within fourth month	
FEE CALCULATION		Extension for reply within fifth month	
1. BASIC FILING FEE		Notice of Appeal	
		Fee Description	Fee Paid
		Utility filing fee	\$ 370
		Design filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional filing fee	
SUBTOTAL (1)		Filing a brief in support of an appeal	
		Request for oral hearing	
2. EXTRA CLAIM FEES		Petition to institute a public use proceeding	
		Extra Claims	Fee from below
Total Claims		-20 = <input checked="" type="checkbox"/>	\$189 = <input type="checkbox"/>
Independent Claims		- 3 = <input checked="" type="checkbox"/>	\$84/42 = <input type="checkbox"/>
Multiple Dependent		-0- <input checked="" type="checkbox"/>	\$280/140 = <input type="checkbox"/>
SUBTOTAL (2)		Petition to revive - unavoidable	
FEES (SUBTOTALS (1) & (2))		Petition to revive - unintentional	
3. ADDITIONAL FEES		Utility issue fee (or reissue)	
Surcharge - late filing fee or oath		Design issue fee	
Surcharge - late provisional filing fee or cover sheet		Plant issue fee	
Non-English specification		Petitions to the Commissioner	
Request for reexamination		Petitions related to provisional applications	
Requesting publication of SIR after Examiner action		Submission of Information Disclosure Statement	
TOTAL FEES ENCLOSED: \$ -0-		Recording each patent assignment per property (times number of properties)	
		Submission after final rejection (37 C.F.R. 1.129(a))	
		Add'l invention to be examined (37 CFR 1.129(b))	
		Other fee (specify)	
		SUBTOTAL (3) <input type="checkbox"/> \$	

Typed/Printed Name

Ronald M. Anderson

Registration Number 28,829

Signature

Ron Anderson

Date: October 12, 2001

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL744182283US

Date of Deposit: October 12, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Kathy L. Paulino

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METHOD AND APPARATUS FOR READING REPORTER LABELED BEADS

Related Applications

This application is based on prior copending provisional application Serial No. 60/240,125, filed on October 12, 2000, and prior copending provisional application Serial No. 60/242,734, filed on October 23, 2000, the benefits of the filing dates of which are hereby claimed under 35 U.S.C. § 119(e). This application is further based on prior copending conventional application No. 09/939,292, filed on August 24, 2001, which is based on a prior copending provisional application Serial No. 60/228,076, filed on August 25, 2000, the benefits of the filing dates of which are hereby claimed under 35 U.S.C. § 119(e) and under 35 U.S.C. § 120.

Field of the Invention

The present invention generally relates to a method and apparatus employed to image an encoded reporter labeled bead, and more specifically, to a method and apparatus that enable encoded reporter labeled beads to be imaged in stasis or when entrained in a flow of fluid, such that imaging data thus obtained can be employed to decode each encoded bead by determining the identity of reporters bound to that bead.

Background of the Invention

Single nucleotide polymorphisms (SNPs) are locations in the genome where a single base substitution has occurred. SNPs are estimated to occur in the human genome at a frequency of approximately 1:1000, implying that there are three million

SNPs in the three billion nucleotide human genome. Since most known gene sequences are on the order of 1,000 base pairs in length, each gene is expected to contain one SNP. It is estimated that there are 100,000 human genes, meaning that there are 100,000 SNPs, which may directly affect the function and/or expression of the resulting proteins.

The relative abundance of SNPs in the genome has stimulated efforts to quantify the location and frequency of occurrence of single base substitutions as a tool for the analysis of gene function. Methods for the detection of SNPs include the oligonucleotide ligation assay (OLA), single-strand conformation polymorphism